



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert A. Morgan et al.

Serial No.: 09/751,423

Examiner: Willie, Douglas A.

Filed: December 29, 2000

Group Art Unit: 2814

For: SPATIALLY MODULATED REFLECTOR FOR AN OPTOELECTRONIC DEVICE

Docket No.: H16-26549 (1100.1114101)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BEFORE
MAILING DATE OF EITHER A FINAL ACTION OR NOTICE
OF ALLOWANCE (37 CFR 1.97(c))**

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV091135994US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 10th day of February, 2003.

By _____

Lynn Thompson
Lynn Thompson

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either a final action under

#12
D. Scott
5-30-03

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FEB 13 2003
TECHNOLOGY CENTER 2800

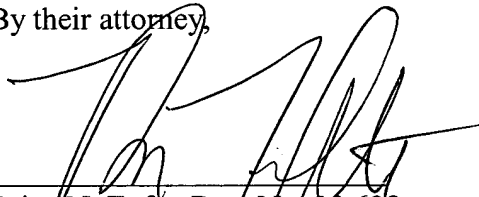
Section 1.113 or a notice of allowance under Section 1.311, whichever occurs first.

Accompanying this transmittal is Fee Transmittal FY 2003 authorizing payment of fees in the amount of \$180.00 to be made from Honeywell Deposit Account 01-1125.

Respectfully submitted,

Robert A. Morgan et al.

By their attorney,

A handwritten signature in black ink, appearing to read 'Brian N. Tufte', written over a horizontal line.

Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
1121 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

Dated: February 10, 2003



02-11-03

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\$

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TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

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By

Lynn Thompson

Lynn Thompson

We are transmitting herewith the attached:

- [X] Supplemental Information Disclosure Statement (37 CFR 1.56), PTO Form-1449 and 6 cited references.
- [X] Fee Transmittal For FY 2003 authorizing payment in the amount of \$180.00 (IDS after first OA) to be charged to Honeywell Deposit Account 01-1125.
- [X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Honeywell Deposit Account No. 01-1125.

By:

Brian N. Tufte
Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
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FEB 10 2003

**FEE TRANSMITTAL
For FY 2003**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37/CFR 1.27.**TOTAL AMOUNT OF PAYMENT** \$180.00**COMPLETE IF KNOWN**

Application No.	09/751,423
Filing Date	December 29, 2000
First Named Inventor	Robert A. Morgan
Group Art Unit	2814
Examiner Name	Willie, Douglas A.
Attorney Docket No.	H16-26549 (1100.1114101)

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other☒ Deposit AccountDeposit
Account
Number

01-1125

Deposit
Account
Name

Honeywell International Inc.

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayment☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1001	750	2001	375	Utility Filing Fee	750
1002	930	2002	185	Design Filing Fee	
1003	520	2003	260	Plant Filing Fee	
1004	750	2004	375	Reissue Filing Fee	
1005	180	2005	80	Provisional Filing Fee	
SUBTOTAL (1)					\$0.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	3	16.00	
Independent Claims		84.00	
Multiple Dependent Claims	0.0	284.00	11.0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim	
1204	84	2204	42	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$0.00

**number previously paid, if greater, see above for Reissues

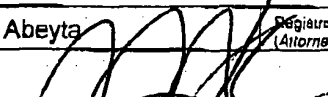
FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,250	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	530	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$180.00**SUBMITTED BY**

Name (Print / Type)	Andrew A. Abeyta	Registration No. (Attorney/Agent)	39,582	Complete (if applicable)	
Signature		Telephone	602-313-3345	Date	2-10-03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.